

## Mitochondrial phylogeography of the Mediterranean horseshoe bat on the Balkan Peninsula

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**Abstract:** The Balkan Peninsula is identified as one of the major glacial refugia in Europe during the Pleistocene, and it has served as a genetic source for post-glacial recolonization for many temperate species. The aim of this study was to investigate the genetic diversity and phylogeographic patterns of the Mediterranean horseshoe bat, *Rhinolophus euryale* Blasius 1853, on the Balkan Peninsula. We also analyzed its demographic history and tested the hypothesis that this region was a glacial refugium for this species. We collected 82 samples from 20 localities in the Balkans and Italy and sequenced the mitochondrial D-loop region. Our results revealed low nucleotide but high haplotype diversity, with 20 out of 24 haplotypes reported for the first time. All Balkan and Italian samples belonged to a single genetic clade in the phylogenetic reconstruction, where they clustered together with previously published samples from Turkey, southern France and North Africa. The haplotype network had a star-like pattern that is indicative of recent population expansion. Both mismatch distribution and shallow genetic differentiation also supported the scenario of a sudden demographic expansion. We estimated that expansion within this lineage commenced in the Late Pleistocene. We suggest that the Balkan Peninsula was a glacial refugium for *R. euryale*.

**Keywords:** D-loop; mitochondrial DNA; refugium; *Rhinolophus euryale*

### INTRODUCTION

The genetic structure of a species reflects both contemporary (e.g. dispersal, migration, roosting behavior, mating ecology) and past processes (e.g. range contraction/expansion, colonization and admixture events) [1]. The analysis of relationships among genetic lineages and their spatial distribution using molecular tools can help to infer the evolutionary and demographic history of species and populations, as well as reconstruct major events that shaped their current distribution [2].

In Europe, the Pleistocene was characterized by climatic fluctuations that consisted of multiple glacial and interglacial periods, i.e. repeated cycles of climate cooling and warming. Those oscillations had a profound impact on the current distribution range and genetic variability (phylogeographic structuring) of many European species [1,3]. During glacial periods (ice ages),

distributions of many European animal species were restricted to southern refugia, where isolated populations differentiated in allopatry. After the last glacial maximum, parts of these populations expanded their range northwards and recolonized the rest of Europe [1]. It is therefore expected that southern (refugial) populations harbor higher genetic diversity than northern ones. This pattern of population expansion and genetic diversity is common for many European temperate species [1,4]. Large Mediterranean peninsulas like the Iberian, Italian (Apennine) and Balkan, have been identified as three main glacial refugia in Europe [1,3]. These southern refugia have had different contributions to the recolonization of Europe. Thus, populations from the Balkan Peninsula have been shown to be the major genetic source for many present-day species [5].

During the past twenty years, there have been numerous studies on the phylogeography of European

bat species: *Barbastella barbastellus* [6], *Miniopterus schreibersii* [7,8], *Myotis bechsteinii* [9], *M. myotis* [10], *Nyctalus noctula* [11], *N. leisleri* [12], *Plecotus austriacus* [13], *Rhinolophus euryale* [14,15], *R. ferrumequinum* [16,17], *R. hipposideros* [18], and genetic evidence of post-glacial range expansion from southern refugia was found in many contemporary bat populations. For many European bat species, the Balkan and Iberian peninsulas were the main sources of post-glacial recolonization, while the Italian Peninsula played a smaller role [6]. The Balkan Peninsula has been proposed as the main or at least as one of several glacial refugia for *B. barbastellus* [6], *M. schreibersii* [8], *M. bechsteinii* [9], *P. auritus* [19], *R. euryale* [14] and *R. ferrumequinum* [16].

*Rhinolophus euryale* is a medium-sized horseshoe bat distributed throughout the Mediterranean region. It is present in northwest Africa, in Europe on the Iberian Peninsula through France, Italy, the Balkans and parts of Asia Minor, and it also occurs in central Europe, east Mediterranean (Levant), Caucasus, Iran and Turkmenistan [20–23]. It is considered to be a sedentary species with seasonal movements up to 50 km [24], and a cave-dwelling species across most of its distribution range [21]. The evolutionary history of *R. euryale* has been investigated in two studies to date. Bilgin et al. [14] analyzed the phylogeography of this species in Anatolia and southeastern Europe (Thrace and western Bulgaria) based on D-loop sequences, and detected two monophyletic clades within the studied area. One clade was found in the entire sampling range (on both sides of the Marmara Sea and in Anatolia), and the authors suggested that population expansion within this clade could have started from the Balkan Peninsula. The second clade was restricted to eastern Anatolia, and the existence of another glacial refugium near the Caspian Sea was proposed. Najafi et al. [15] evaluated the phylogeography of the species in Iran using D-loop and *Cytb* mitochondrial sequences. Their results revealed the presence of two monophyletic clades corresponding to northern and southern parts of the country and confirmed the existence of a glacial refugium south of the Zagros mountains for the Mediterranean horseshoe bat.

The first genetic study of this species on the Balkan Peninsula was carried out recently using nuclear microsatellites [25], and to the best of our knowledge,

there are no published data on mitochondrial diversity in *R. euryale* from this region. We conducted extensive sampling of *R. euryale* colonies in Serbia and neighboring countries and analyzed D-loop sequences of mitochondrial DNA in order to compare results with previously published data. The aims of this study were (i) to analyze the genetic diversity of *R. euryale* based on the mitochondrial DNA (mtDNA) sequences; (ii) to assess the relationships of Balkan populations with those from Anatolia and Iran; (iii) to investigate the demographic history of *R. euryale* on the Balkan Peninsula; (iv) to test the hypothesis that the Balkan Peninsula was one of the glacial refugia for this species.

## MATERIALS AND METHODS

### Ethics statement

Capturing and sampling were carried out under the permits provided by the responsible authorities in Serbia, Bosnia and Herzegovina, Montenegro and Slovenia (the list of licenses are presented in Supplementary file S1). According to the laws in the listed countries, no further ethical approval by a committee is required for the aforementioned procedures, which were carried out in accordance with the species-specific recommendations of the Canadian Council on Animal Care [26]. All animals were successfully released in good condition at the place where they were captured.

### Sampling

In the period 2012-2017, we obtained 82 tissue samples from 20 localities in the Balkans and Italy (Supplementary Table S1, Supplementary Fig. S1). We captured bats using a hand net inside the roost and/or during emergence using mist-nets set up at the roost entrance. The captured specimens were identified to species level, their sex was established and their age was determined by the degree of ossification of epiphyseal bones [27]. The reproductive status was assessed according to [28], and forearm length and body mass were measured to the nearest 0.05 mm and 0.25 g, respectively. We took two 3-mm biopsy punches from the plagiopatagium [29] and preserved them in 99% ethanol.

## DNA extraction and sequencing

Genomic DNA was extracted from wing punches using the Quick-gDNA Mini Prep Kit (Zymo-Research) following the manufacturer's protocol, after tissue incubation overnight in digestion buffer containing proteinase K [30]. A 711-bp fragment of mitochondrial DNA including tRNA-Thr, tRNA-Pro and the hypervariable region 1 (HV-1) of the D-loop, was amplified using the following primers: REM-DL-F1 (5'-AATCGGAGGC-CAACCTGT-3') (Puechmaile S, from the Zoological Institute and Museum, University of Greifswald, Greifswald, Germany; unpublished sequence), and mtDNA-F2-R (5'-ATGGCCCTGAAGAAAGAAC-CAGATG-3') [31]. PCR amplifications were carried out in a final volume of 25  $\mu$ L containing 1 $\times$ DreamTaq Buffer (Thermo Scientific™), 0.4  $\mu$ L of each primer, 0.2 mM dNTPs, 1 U of DreamTaq DNA Polymerase (Thermo Scientific™) and 2  $\mu$ L of DNA template, as follows: initial denaturation at 95°C for 10 min, 10 cycles for 15 s at 95°C, 30 s at 65°C (reduced by 2°C every 2 cycles, 65-57°C), 1 min at 72°C, followed by 30 cycles of 15 s at 95°C, 30 s at 55°C, 1 min at 72°C; final step of 10 min at 72°C. Amplification products were sent to Macrogen Inc. (Netherlands) for commercial sequencing in both directions.

Sequences were manually edited and trimmed in Chromas 2.5.1 (<https://technelysium.com.au/wp/chromas/>; Technelysium Pty Ltd. Australia), and aligned using the ClustalW algorithm [32] as implemented in Mega6 [33], and alignments were reviewed by eye.

## Data analysis

Sequences were collapsed into haplotypes using DnaSP v6 [34]. The same software was used to calculate the number of haplotypes, haplotype diversity ( $h$ ), nucleotide diversity ( $\pi$ ) and the number of polymorphic sites.

For phylogenetic reconstructions we combined our dataset with existing sequences from GenBank (Accession numbers: AY923062, DQ417500-DQ417507, DQ417509-DQ417510, DQ417512-DQ417514, DQ417516-DQ417519, DQ445458-DQ445459, KU531305, KF031267-KF031268 and MH223409-MH223432). *Rhinolophus mehelyi* (Accession numbers: KF031265-KF031266, KU531357-

KU531358, MH223434) and *R. blasii* (Accession numbers: KU531263-KU531269) sequences were used as outgroups. The final dataset consisted of 141 sequences including 129 *R. euryale* sequences, five from *R. mehelyi* and seven from *R. blasii*. We trimmed the sequences to 404 bp to have equal lengths, to be used in all following analyses.

Phylogenetic analyses were performed using Bayesian inference as implemented in BEAST v2.4.3 [35]. The HKY substitution model was selected using jModelTest v2.1.10 [36], based on the Bayesian information criterion. The strict clock model and constant population size served as a tree prior. Two independent runs were performed for  $1 \times 10^7$  generations and sampled every 10000<sup>th</sup>. Convergence of the runs and effective sample sizes (ESS) was checked in Tracer v1.7.1 [37]. Runs were combined in LogCombiner v2.4.3 (<https://github.com/CompEvol/beast2/releases/tag/v2.4.3>) and a consensus tree was built in TreeAnnotator v2.4.3 (<https://github.com/CompEvol/beast2/releases/tag/v2.4.3>) using the maximum clade credibility topology, median node heights with the posterior probability limit set to 0.5. The first 20% of trees were discarded as burn-in and the final tree was visualized in FigTree v1.4.3 (<http://tree.bio.ed.ac.uk/software/figtree/>). Genetic distances within and between supported clades were calculated using the Kimura 2-parameter (K2P) model [38] as implemented in Mega6 [33] software, taking into account population sizes.

A median-joining method [39] as implemented in NETWORK 5.0.0.3 software (Fluxus Technology, <http://www.fluxus-engineering.com>) was used to construct the haplotype network.

We performed a mismatch distribution analysis in DnaSP v6 [34] to investigate population expansion signatures. Populations that have undergone sudden expansion usually show a unimodal distribution of pairwise differences, whereas populations that resulted from several colonization events have a multimodal mismatch distribution [40]. The significance of mismatch distribution was assessed by Harpending's raggedness index  $r$  [40]. Additionally, we tested for evidence of population growth using Fu's  $F_s$  [41] and Tajima's  $D$  [42] parameters. Negative values significantly different from zero are indicative of past population expansion [41,42]. The significance of

these tests was assessed using 10000 coalescent simulations in the DnaSP v6 [34]. Where expansion was detected, the time of expansion was estimated from  $\tau=2ut$  [40], where  $u$  is the mutation rate per locus (product of substitution rate and sequence length). We used a substitution rate of 20% per million years as proposed by Petit et al. [11], generation time of 2 years as for congeneric species *R. ferrumequinum* and *R. hipposideros* [17,18], and we considered sample sizes from previously published data in these analyses.

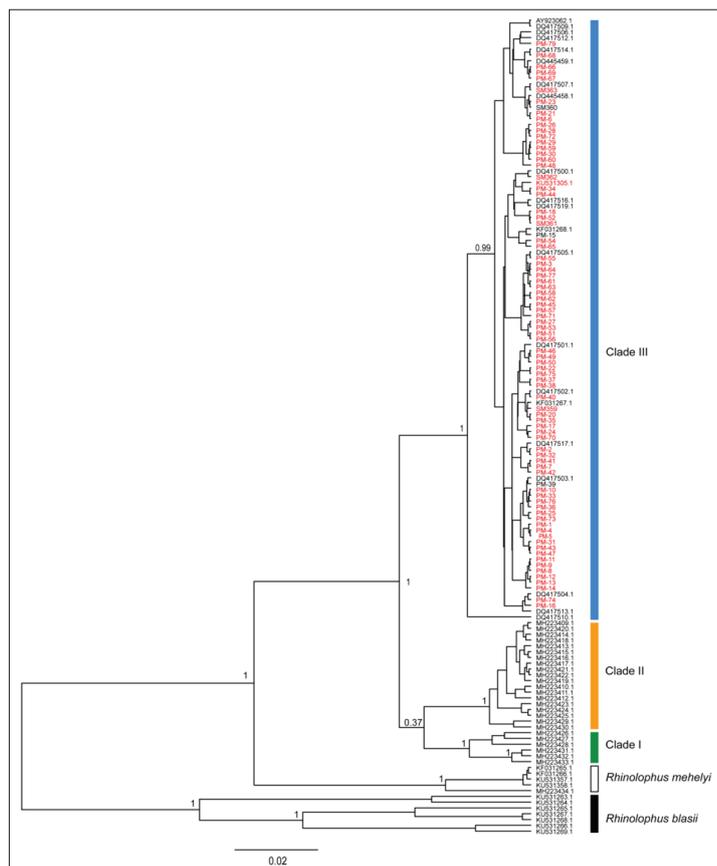
## RESULTS

In total, we identified 24 haplotypes based on 711-bp D-loop sequences. The obtained sequences were deposited in GenBank under Accession numbers MK977743-MK977824. There were 23 polymorphic sites in the analyzed fragment, of which 12 were parsimony informative. The haplotype diversity  $h$  ( $\pm$ SD) was 0.871 ( $\pm$ 0.028), and the nucleotide diversity  $\pi$  ( $\pm$ SD) was 0.00292 ( $\pm$ 0.00025). After trimming sequences generated in this study to a length of 404 bp

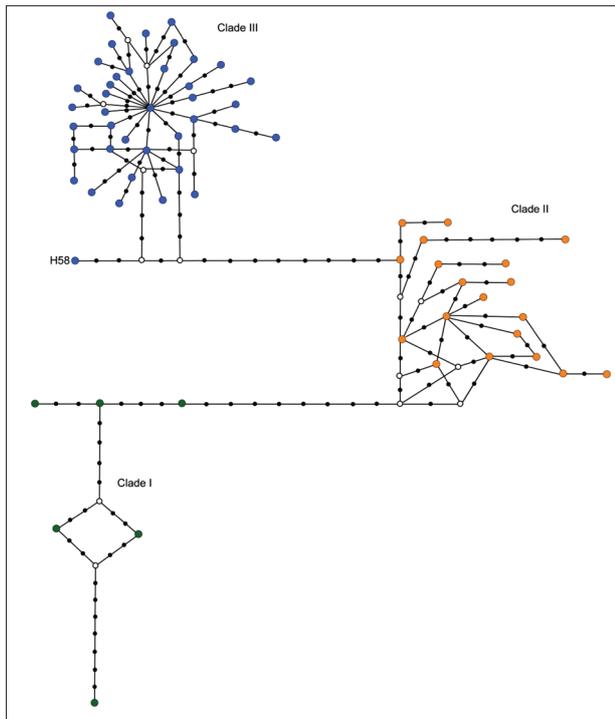
(removed parts were invariable) to be comparable with previously published ones, twenty haplotypes were recorded for the first time, while four were previously reported by Bilgin et al. [14].

Phylogenetic reconstructions using the Bayesian approach revealed three major monophyletic clades, but relationships among them are not clearly resolved due to low posterior probability (Fig. 1). Clades I and II included the Iranian samples (all 25 haplotypes published by Najafi et al. [15]) corresponding to different geographic regions in Iran. Clade I corresponded to subclade B in Najafi et al. [15] and comprised samples from south Iran, while samples from the rest of Iran clustered in clade II (subclade A in Najafi et al. [15]). Clade III contained the samples from Europe, Turkey and North Africa, including all those generated in this study. Divergence between the samples from Iran and clade III was 4.2%, but due to low posterior probability (0.37 for clustering two Iranian lineages into one clade), phylogenetic relationships between two Iranian lineages and their relationships with clade III are unclear. Genetic variation within clades I, II and III was 2.1% (range 0.5-4.1%), 0.7% (range 0-1.5%) and 0.6% (range 0-2.8%), respectively. Within clade III, one sample from Turkey (Accession number DQ417510, from Hatay; Eastern Mediterranean [14], named H58 in the current study) was noticeably different (Fig. 1, Fig. 2), and all other samples clustered in one highly supported group. Divergence among H58 and other samples within this lineage ranged between 1.5-2.8%.

The obtained median-joining haplotype network (Fig. 2) revealed three clearly disconnected mtDNA subnetworks, congruent with the main lineages detected in the phylogenetic analysis (Fig. 1). Two of them comprised haplotypes restricted to Iran (clades I and II), whereas the third one consisted of haplotypes from Europe, Turkey and North Africa (clade III). The subnetwork represent-



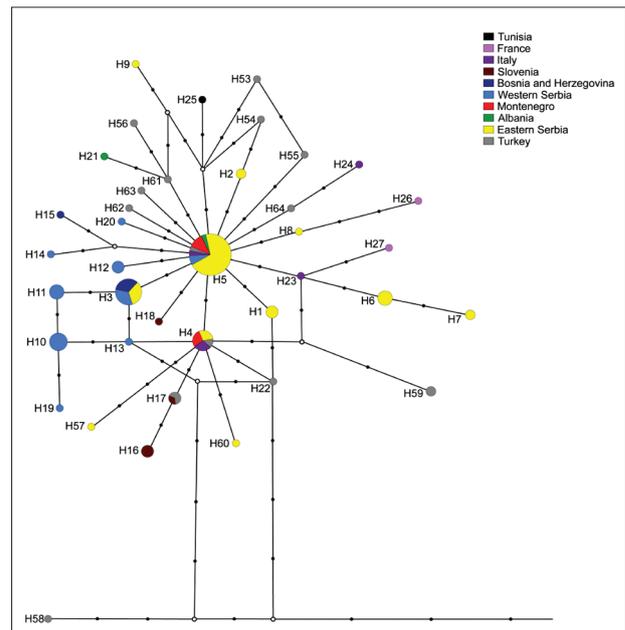
**Fig. 1.** Bayesian phylogenetic tree based on D-loop sequences, including samples obtained in this study (red) and sequences from GenBank (black). *Rhinolophus mehelyi* and *R. blasii* were used as outgroups.



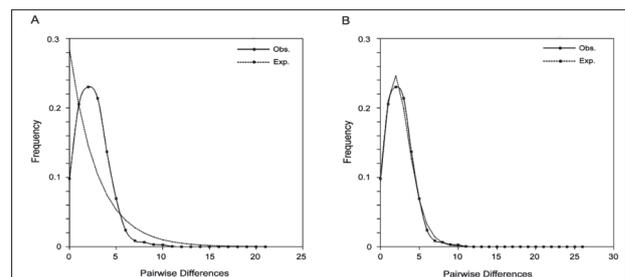
**Fig. 2.** Median-joining haplotype network. Circle sizes are not proportional to the frequency of the particular haplotype. Colors correspond to clades obtained in Bayesian analysis that are shown. Black dots represent mutations and white circles median vectors. Sequences from Iran (Clades I and II) and Turkey, France and North Africa (within clade III, labelled in Fig. 3) have been downloaded from GenBank.

ing clade III showed star-like topology, which is indicative of population expansion. The most abundant haplotype (H5) had a central position within the network, and was surrounded by less frequent haplotypes differing with mostly 1-2 mutations. The majority of haplotypes were restricted to geographic regions. For instance, haplotypes H1-2 and H6-8 were present in eastern Serbia, while H10-14 and H19-20 were found in western Serbia only (Fig. 3).

Mismatch distribution analysis of clade III showed unimodal distribution. The observed values revealed that this genetic lineage was more consistent with the model of sudden demographic expansion (Fig. 4B) than with a constant-sized-population demographic history (Fig. 4A). The value of Harpending's raggedness index was  $r=0.0252$ , with a statistically insignificant p-value for the observed and simulated data. Additionally, Fu's  $F_s$  ( $F_s=-38.346$ ,  $p<0.001$ ) and Tajima's  $D$  ( $D=-2.090$ ,  $p<0.05$ ) tests also supported



**Fig. 3.** Median-joining network of *R. euryale* mtDNA haplotypes that belong to Clade III. Pie sizes are proportional to the number of individuals having specific haplotype. Black dots show mutation events, white circles median vectors and colors represent different geographic regions. Sequences from Turkey, France and North Africa have been downloaded from GenBank and the rest was obtained in this study.



**Fig. 4.** The observed (Obs.) and expected (Exp.) mismatch distributions for Clade III under the scenario of a population of constant size (A) and an expanding population (B).

the scenario of population expansion for the clade III. Applying a substitution rate of 20% per million years, we estimated that expansion within this clade occurred about 23000 years before the present.

## DISCUSSION

In the current study we estimated the genetic diversity of the mtDNA of the Mediterranean horseshoe bat on the Balkan Peninsula using D-loop sequences. In line

with previous investigations, our results revealed high haplotype diversity and the obtained values for haplotype and nucleotide diversity were similar to those reported from Turkey [14] and Iran [15].

Based on the analyzed mtDNA data set, we deduced the existence of three major monophyletic lineages, which were also reported by Najafi et al. [15] using both D-loop and *Cytb* mitochondrial sequences. The same authors proposed that there were two refugial areas for the Mediterranean horseshoe bat in Iran during the Pleistocene. The samples from clade I probably originated from a glacial refugium south of the Zagros mountains, while samples from clade II might have diverged north of the Elborz mountains in the Caspian refugium. Similar phylogeographic patterns were observed for two moth species from Iran, having glacial refugia in similar regions [43].

In agreement with Bilgin et al. [14], we suggest that samples from clade III probably originated from the Balkan glacial refugium, which is supported by descriptive statistics, phylogenetic and haplotype network analysis. The haplotype subnetwork representing this lineage has a star-like structure, indicating recent population expansion. The most common and the most abundant haplotype is H5 and it is present in southern Italy, Montenegro, western and eastern Serbia, Albania and Turkey. Having a central position within the network, it is surrounded by many haplotypes differing by only one or very few bp, so that H5 is potentially the most ancestral haplotype in this group. The vast majority of haplotypes are unique to geographic regions (or to localities) and have low frequencies, while the more frequent haplotypes are the only ones shared among geographic regions (e.g. H3-H5). Most of haplotypes from Turkish populations are derived from the ancestral haplotype by one mutation event, and it is possible that they originated in the Balkans. Only two haplotypes have been recorded in Montenegro, and both are also present in Italy and eastern Serbia. Genetic similarity between *R. euryale* populations in eastern Serbia and Montenegro has also been reported based on nuclear microsatellite data [25]. Within clade III, H58 from the eastern Mediterranean area is evidently different from the other haplotypes in this lineage, and it is possible that they evolved in different glacial refugia. However, this assumption is based on a single sequence avail-

able from Hatay, and reaching any further conclusions would be too speculative. Additional samples from that region are needed for more prudent conclusions. Çoraman et al. [44] analyzed the phylogeographic patterns of 33 bat species present in Anatolia using *ND1* and *Cytb* mitochondrial genes. In their study, all *R. euryale* samples formed a single monophyletic group, similar to clade III in the current study.

In the present study, Italian haplotypes clustered together with all other samples from the Balkans, Turkey and North Africa, and two haplotypes were shared between Italy and the Balkans. During the last glacial maximum, the level of the Mediterranean Sea was much lower, which was responsible for the existence of “land bridges” between the Balkan and Apennine peninsulas [45], facilitating gene flow between them (more examples are presented in Randi [4] and Ruedi et al. [10]). It is possible that Italy was populated by Balkan source populations, resulting in their genetic similarities. If we assume that the samples from France and North Africa originated from another glacial refugium (e.g. the Iberian Peninsula), more prominent differences between them and Balkan samples would be expected. However, those samples also belong to the same (European) lineage, so samples from the Balkans, Italy, France and North Africa seem to have originated from the same source population. The significance of the Balkan Peninsula as a main source of postglacial recolonization has been pointed out for many European species, while due to mountainous barriers, the Iberian and Apennine peninsulas probably played moderate and small roles, respectively [5]. It is possible that European populations of the Mediterranean horseshoe bat originated from a single refugium that was on the Balkan Peninsula, similarly as for *M. bechsteinii* [9] and *N. noctula* [11]. However, due to the small sample size from the rest of Europe (and none from the Iberian Peninsula), we cannot exclude the possibility that there were other glacial refugia for this species in Europe.

Our results support a scenario of population expansion and show that expansion commenced in Late Pleistocene. The similar pattern of recolonization from a Balkan refugium after the last glacial maximum was observed for several bat species: *Barbastella barbastellus* [6], *Miniopterus schreibersii* [8], *Myotis bechsteinii* [9] and *Nyctalus noctula* [11].

The star-like topology of median-joining tree, mismatch distribution and shallow genetic differentiation in *R. euryale* point to a relatively recent and rapid population expansion, while the high genetic diversity supports the hypothesis that the Balkan region was the most likely refugium for the clade III of *R. euryale* during the Late Pleistocene. Balkan populations of the Mediterranean horseshoe bat harbor relatively high levels of genetic diversity, at both the nuclear [25] and mitochondrial levels. These populations have been recognized as the most stable and the most numerous in Europe [21], and since they carry a substantial part of the species' genetic variation, their protection is of great importance.

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**Author contributions:** IB, VJ, BP, MR, PP collected the samples. IB and VJ performed the analyses. IB wrote the first draft of the manuscript, and all authors contributed substantially to revisions.

**Conflict of interest disclosure:** The authors declare that there are no conflicts of interest.

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## Supplementary Material

The Supplementary Material is available at: [http://serbiosoc.org.rs/NewUploads/Uploads/Budinski%20et%20al\\_4330\\_Supl.%20Info.pdf](http://serbiosoc.org.rs/NewUploads/Uploads/Budinski%20et%20al_4330_Supl.%20Info.pdf)